

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
97078CIPDIV1

Total Pages in this Submission  
50

## Fee Calculation and Transmittal

### CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	23	- 20 =	3	x \$18.00	\$54.00
Indep. Claims	4	- 3 =	1	x \$80.00	\$80.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
OTHER FEE (specify purpose)					\$0.00
BASIC FEE					\$710.00
TOTAL FILING FEE					\$844.00


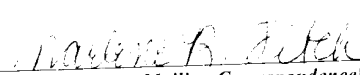
- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 03-0060 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$844.00 as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

*Michelle B. Lando*  
Signature

Dated: December 29, 2000

CC:

Michelle B. Lando  
Reg. No. 33,941  
Cabot Corporation  
Law Department  
157 Concord Road  
Billerica, MA 01821  
Tel (978) 670-7030

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b> Applicant(s): Joseph E. Johnson, Nanying Bian and Collin P. Galloway			Docket No. <b>97078CIPDIV1</b>	
Serial No. DIV. of 09/285,253	Filing Date <b>FILED HEREWITH</b>	Examiner <b>TO BE ASSIGNED</b>	Group Art Unit <b>TO BE ASSIGNED</b>	
Invention: <b>MODIFIED PIGMENTS HAVING IMPROVED DISPERSING PROPERTIES</b>				
<div style="float: right; text-align: right; margin-right: 50px;">           966 U.S. PTO            09/752227              12/29/00         </div> <p>             I hereby certify that this <u>New Utility Patent Application Transmittal and all documents referenced therein</u>  <small>(Identify type of correspondence)</small>              is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under              37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.              20231-0001 on <u>December 29, 2000</u>  <small>(Date)</small> </p> <div style="text-align: right; margin-top: 20px;"> <u>Marlene R. Fitch</u>  <small>(Typed or Printed Name of Person Mailing Correspondence)</small>    <small>(Signature of Person Mailing Correspondence)</small>  <u>EL601722085US</u>  <small>("Express Mail" Mailing Label Number)</small> </div>				
Note: Each paper must have its own certificate of mailing.				

SCANNED # 8

- a. ☒ Descriptive Title of the Invention
- b. ☒ Cross References to Related Applications (if applicable)
- c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
- d. ☐ Reference to Microfiche Appendix (if applicable)
- e. ☒ Background of the Invention
- f. ☒ Brief Summary of the Invention
- g. ☐ Brief Description of the Drawings (if drawings filed)
- h. ☒ Detailed Description
- i. ☒ Claim(s) as Classified Below
- j. ☒ Abstract of the Disclosure

- 1. ☒ Filing fee as calculated and transmitted as described below
- 2. ☒ Specification having \_\_\_\_\_ pages and including the following:  
37

**Application Elements**

Enclosed are:

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP)	of prior application No.:
Which is a:			
<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP)	of prior application No.:
Which is a:			
<input checked="" type="checkbox"/> Continuation	<input checked="" type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP)	of prior application No. 09/285,253

and invented by: Joseph E. Johnson, Nanying Bian and Collin P. Galloway

MODIFIED PIGMENTS HAVING IMPROVED DISPERSING PROPERTIES

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application  
Washington, D.C. 20231

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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jc956 U.S. PTO  
09/15/2000  
12/29/00

jc944 U.S. PTO  
12/29/00

Express Mail Label No. EL60172265US

01-02-01

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## Application Elements (Continued)

3. ☐ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☐ Formal Number of Sheets
- b. ☐ Informal Number of Sheets
4. ☒ Oath or Declaration
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☐ With Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).

5. ☒ Incorporation By Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)

- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy (identical to computer copy)
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

## Accompanying Application Parts

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment  
\*\*Please enter the Preliminary Amendment calculating the filing fee.
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing

☐ First Class ☒ Express Mail (Specify Label No.): EI.601722085US

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Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. ☐ Additional Enclosures (please identify below):

Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)

17. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

## Warning

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.